April 1, 2017

Construction Industry, Designers, Contractors and Suppliers providing services in Yukon

Advisory #1 – Stairs, Handrails & Guards for Single Family Dwellings & Secondary Suites

The National Building Code of Canada 2015, (NBC) which is adopted in Yukon, under the Yukon Building Standards Act, contains several provisions that apply to the construction of stairs, handrails and guards for single family dwellings or a house with a secondary suite. We are alerting you to the need for building owners, as well as their designers, builders and material suppliers on their behalf, to comply with the requirements of the 2015 NBC regarding the construction of stairs, handrails and guards for single family dwellings or a house with a secondary suite.

Although the Territory adopts the National Building Code (NBC), it is administered within Whitehorse by the City of Whitehorse, Building Officials and in all other parts of Yukon; the Yukon Government, Building Officials administer it. This enclosed Advisory #1 includes a summary of the applicable Part 9, 2015 NBC provisions for the construction of stairs, handrails and guards for single family dwellings or a house with a secondary suite. Advisory #2 deals with the construction of Stairs, Barrier-Free Ramps, Handrails, and Guards for all other Part 9 buildings. Advisory #3 deals with the construction of Stairs, Barrier-Free Ramps, Handrails and Guards in all buildings that are governed under Part 3 of the 2015 NBC.

We hope that this advisory will help eliminate confusion about the construction requirements of Stairs, Handrails and Guards for single family dwellings or a house with a secondary suite and will encourage uniform application of the 2015 NBC requirements throughout Yukon. Please feel free to make copies of this advisory available to your customers as you see fit. Your assistance in achieving these goals is greatly appreciated.

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Compliance with the Building Standards Act and Regulations are addressed in this advisory. The National Building Code of Canada 2015 (NRC) is adopted by the Building Standards Act. Words in italics are defined in the 2015 NBC.

Note: This Advisory #1 applies to the construction requirements of stairs, handrails and guards for single family dwelling units or a house with a secondary suite, constructed under Part 9 of the 2015 NBC and shall comply with the following:

Part 3 of the 2015 NBC
Subsection 3.3.4. Residential Occupancy

Article 3.3.4.7. Stairs, Ramps, Landings, Handrails and Guards for Dwelling Units
1) Except as required in Article 3.3.4.8., stairs, ramps, landings, handrails and guards within a dwelling unit shall conform to the appropriate requirements in Section 9.8.

2) Exterior stairs, ramps, landings, handrails and guards serving a single dwelling unit, and loads on guards serving not more than two dwelling units, shall conform to the appropriate requirements in Section 9.8.

PART 9 of the 2015 NBC
Section 9.8. Stairs, Ramps, Handrails and Guards

Article 9.8.1.1. General
1) This Section applies to the design and construction of interior and exterior stairs, steps, ramps, handrails and guards.

Article 9.8.1.2. Stairs, Ramps, Landings, Handrails and Guards in Garages
1) Where stairs, ramps, landings, handrails or guards are installed in garages that serve a single dwelling unit or a house with a secondary suite including their common spaces, the garage shall be considered to be part of the dwelling unit and the requirements for stairs, ramps, landings, handrails and guards within dwelling units shall apply.

Article 9.8.1.3. Exit Stairs, Ramps and Landings
1) Where the stair, ramp or landing forms part of an exit, the appropriate requirements in Sections 9.9. and 9.10. shall also apply.

9.8.2. Stair Dimensions

Article 9.8.2.1. Stair Width
1) Except as provided in Sentence (2) and Article 9.8.4.7., required exit stairs and public stairs serving buildings of residential occupancy shall have a width of not less than 900 mm (36").

2) Exit stairs serving a single dwelling unit or a house with a secondary suite including their common spaces shall have a width of not less than 880 mm (34").

4) Except as provided in Article 9.8.4.7., at least one stair between each floor level within a dwelling unit, and exterior stairs serving a single dwelling unit except required exit stairs, shall have a width of not less than 860 mm (34").
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Article 9.8.2.2. Height over Stairs
1) The clear height over stairs shall be measured vertically, over the clear width of the stair, from a straight line tangent to the tread and landing nosings to the lowest point above. (See Note A-3.4.3.4.)

A-3.4.3.4. Clear Height and Width. Clear height is intended to be measured from a line tangent to the nosings extended to the underside of the lowest element above the walking surface, over the clear width of the exit (see Figure A-3.4.3.4.). Examples of low elements above the walking surface include light fixtures or sprinkler heads and piping.

Clear width is intended to be measured from a line tangent to horizontal protrusions such as handrails.

![Figure A-3.4.3.4. Measuring clear height](image)

2) Except as provided in Sentences (3) and (4) and Article 9.8.4.7., the clear height over stairs shall not be less than 2 050 mm (6’-9”).

3) Except as provided in Article 9.8.4.7., the clear height over stairs serving a single dwelling unit or a house with a secondary suite including their common spaces shall not be less than 1 950 mm (6’-5”).

4) The clear height over stairs that are located under beams and ducting in secondary suites shall not be less than 1 850 mm (6’-1”)

9.8.3. Stair Configurations

Article 9.8.3.1. Permitted Configurations
(See Notes A-9.8.3.1. and A-9.8.4.)
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<table>
<thead>
<tr>
<th>Location/Use of Stairs</th>
<th>Straight Flight with Rectangular Treads</th>
<th>Curved Flight with Tapered Treads</th>
<th>Winders</th>
<th>Flight with a mix of Rectangular Treads and Tapered Treads</th>
<th>Spiral Stairs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stairs within dwelling units</td>
<td>Permitted(1)</td>
<td>Permitted(2)</td>
<td>Permitted(3)</td>
<td>Permitted(4)</td>
<td>Permitted(3)</td>
</tr>
<tr>
<td>Public stairs</td>
<td></td>
<td></td>
<td>Not permitted</td>
<td>Not permitted</td>
<td>Not permitted</td>
</tr>
<tr>
<td>Exit stairs</td>
<td></td>
<td>Permitted(6)</td>
<td>Not permitted</td>
<td>Not permitted</td>
<td>Not permitted</td>
</tr>
</tbody>
</table>

Notes to Table A-9.8.3.1.:
(1) See Articles 9.8.4.1. and 9.8.4.2.
(2) See Articles 9.8.4.1. and 9.8.4.3.
(3) See Article 9.8.4.6.
(4) See Article 9.8.4.5.
(5) See Article 9.8.4.7.
(6) See Articles 3.4.6.9. and 9.8.4.3.

A-9.8.4. Tread Configurations. The Code distinguishes four principal types of stair treads:
- rectangular treads, which are found in straight flights;
- tapered treads, which are found in curved flights;
- winders are described in Note A-9.8.4.6.; and
- spiral stairs, which are described in Note A-9.8.4.7.

See Figure A-9.8.4.-A.

Figure A-9.8.4.-A
Types of treads
Articles 9.8.4.1. to 9.8.4.8. specify various dimensional limits for steps. Figure A-9.8.4.-B illustrates the elements of a step and how these are to be measured.

2) Stairs within dwelling units and houses with a secondary suite, including their common spaces, shall consist of:
   a) straight flights,
   b) except as provided in Sentence (4), curved flights,
   c) except as provided in Sentence 9.8.4.7.(2), spiral stairs,
   d) except as provided in Sentence (3), flights with rectangular treads and winders, or
   e) flights with a mix of rectangular and tapered treads.

3) Only one set of winders described in Article 9.8.4.6. shall be permitted between floor levels.

4) Curved flights in exits shall comply with Sentence 3.4.6.9.(2).

5) All tapered treads within a flight shall turn in the same direction.

**Article 9.8.3.3. Maximum Height of Stairs**
1) The vertical height of any flight of stairs shall not exceed 3.7 m (12').

**9.8.4. Step Dimensions**
(See Note A-9.8.4.)
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Article 9.8.4.1. Dimensions for Risers
(See Note A-9.8.4.)
1) Except as provided in Article 9.8.4.7. and except for stairs serving areas only used as service rooms or service spaces, the rise, which is measured as the vertical nosing-to-nosing distance, shall comply with Table 9.8.4.1.

<table>
<thead>
<tr>
<th>Stair Type</th>
<th>Rectangular Treads, Tapered Treads and Winders</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Rise, mm</td>
</tr>
<tr>
<td>Private(1)</td>
<td>200</td>
</tr>
<tr>
<td>Public(2)</td>
<td>180</td>
</tr>
</tbody>
</table>

Notes to Table 9.8.4.1.:
(1) Private stairs are exterior and interior stairs that serve
   (a) single dwelling units,
   (b) houses with a secondary suite including their common spaces, or
   (c) garages that serve a) or b).
(2) Public stairs are all stairs not described as service stairs or private stairs.

Article 9.8.4.2. Dimensions for Rectangular Treads
(See Note A-9.8.4.)
1) Except for stairs serving areas only used as service rooms or service spaces, the run shall comply with Table 9.8.4.2.

<table>
<thead>
<tr>
<th>Stair Type</th>
<th>Rectangular Treads</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Run, mm</td>
</tr>
<tr>
<td>Private(1)</td>
<td>355</td>
</tr>
<tr>
<td>Public(2)</td>
<td>No limit</td>
</tr>
</tbody>
</table>

Notes to Table 9.8.4.2.:
(1) Private stairs are exterior and interior stairs that serve
   (a) single dwelling units,
   (b) houses with a secondary suite including their common spaces, or
   (c) garages that serve a) or b).
(2) Public stairs are all stairs not described as service stairs or private stairs.

2) The depth of a rectangular tread shall be not less than its run and not more than its run plus 25 mm (1").
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Article 9.8.4.3. Dimensions of Tapered Treads
(See Note A-9.8.4.)
1) Except as provided in Sentence (2) and Articles 9.8.4.6. and 9.8.4.7., tapered treads shall have a run that
   a) is not less than 150 mm (6") at the narrow end of the tread, and
   b) complies with the dimensions stated in Table 9.8.4.2. when measured at a point 300 mm (12")
   from the centre line of the handrail at the narrow end of the tread.

2) Tapered treads in required exit stairs shall conform to the requirements in Article 3.4.6.9.

3) The depth of a tapered tread shall be not less than its run at any point and not more than its run
   at any point plus 25 mm (1").

Article 9.8.4.4. Uniformity and Tolerances for Risers, Runs and Treads
1) Except as provided in Sentence (2), risers shall be of uniform height in any one flight, with a
   maximum tolerance of
   a) 5 mm (3/16") between adjacent treads or landings, and
   b) 10 mm (3/8") between the tallest and shortest risers in a flight.

2) Except for required exit stairs, where the top or bottom riser in a stair adjoins a sloping finished
   walking surface, such as a garage floor, driveway or sidewalk, the height of the riser across the
   stairs shall vary by not more than 1 in 12.

3) Rectangular treads shall have a uniform run with a maximum tolerance of
   a) 5 mm (3/16") between adjacent treads, and
   b) 10 mm (3/8") between the deepest and shallowest runs and treads in a flight.

4) Tapered treads in a flight shall have a uniform run in accordance with the construction
   tolerances stipulated in Sentence (3) when measured at a point 300 mm (12") from the centre line of
   the handrail as described in Sentence 9.8.7.1.(5).

5) The slope of treads shall not exceed 1 in 50.

Article 9.8.4.5. Uniformity of Runs in Flights with Mixed Treads within Dwelling Units
1) Except as provided in Sentence (2) and Article 9.8.4.6., where a flight of stairs consists of both
   tapered treads and rectangular treads, all the treads shall have a uniform run when measured at a
   point 300 mm (12") from the centre line of the inside handrail.

2) Where tapered treads are located at the bottom of a mixed-tread flight, the run of the tapered
   treads when measured at a point 300 mm (12") from the centre line of the inside handrail is
   permitted to exceed the run of the rectangular treads.

Article 9.8.4.5. Winders
(See Note A-9.8.4.6.)
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A-9.8.4.6. Winders. Where a stair must turn, the safest method of incorporating the turn is to use a landing. Within a dwelling unit, however, where occupants are familiar with their environment, winders are an acceptable method of reducing the amount of floor area devoted to the stair and have not been shown to be more hazardous than a straight run of steps. Nevertheless, care is required to ensure that winders are as safe as possible. Experience has shown that 30° winders are the best compromise and require the least change in the natural gait of the stairs user; 45° winders are also acceptable, as they are wider. The Code permits only these two angles. Although it is normal Code practice to specify upper and lower limits, in this case it is necessary to limit the winders to specific angles with no tolerance above or below these angles other than normal construction tolerances. One result of this requirement is that winder-type turns in stairs are limited to 30° or 45° (1 winder), 60° (2 winders), or 90° (2 or 3 winders). See Figure A-9.8.4.6.

One set of winders constructed and installed as illustrated in any of the four figures below, are permitted to be installed between a floor level within a dwelling unit.

(a) 2 – 45° Winders with a min. run of 150 mm (6")  (b) 3 – 30° Winders with a min. run of 150 mm (6")

(c) 2 – 45° Winders with no minimum run  (d) 3 – 30° Winders with no minimum run

Figure A-9.8.4.6.
Winders

1) Individual treads in winders that converge on a center point shall turn through an angle of
   a) 30° with no deviation above or below 30°, or
   b) 45° with no deviation above or below 45°.

2) Where winders are incorporated into a stair, each set shall not turn through more than 90°.

Article 9.8.4.7. Spiral Stairs (See Note A-9.8.4.7.)

A-9.8.4.7. Spiral Stairs. A spiral stair is typically described as a stair with a circular plan having uniform treads that radiate from and wind around a common central post or supporting column.

In the context of the Code, the term “spiral stair” is used to describe any stair where:
   (a) the plan of the treads forms part or all of a circle,
   (b) the minimum stair width and tread depth are less than those required for curved stairs, and
   (c) the maximum riser height is greater than that permitted in all other stair configurations.
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Figure A-9.8.4.7.
Spiral stairs

660 mm between handrails located on both sides

190 mm deep tread at a point 300 mm from the centre line of the handrail at the narrower edge
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1) Spiral stairs shall have
   a) handrails on both sides, the outer handrail being not less than 1 070 mm (42") high,
   b) a clear width not less than 660 mm (26") between the handrails,
   c) risers that are not more than 240 mm (9 3/8") high,
   d) treads that
      i) are a minimum of 190 mm (7 ½") deep at a point 300 mm (12") from the centre line of the
         handrails at the narrower edge,
      ii) have a consistent angle and uniform dimension, and
      iii) turn in the same direction, and
   e) not less than 1 980 mm (78") clear height.

2) Spiral stairs conforming to Sentence (1) are permitted to be used as the only means of egress
   where they serve not more than 3 persons.

3) Spiral stairs shall not serve as an exit.

Article 9.8.4.8. Tread Nosings (See Notes A-9.8.4.8. and A-9.8.4.)
A-9.8.4.8. Tread Nosings. A sloped or beveled edge on tread nosings will make the tread more visible
through light modeling. The sloped portion of the nosing must not be too wide so as to reduce the risk of
slipping of the foot. See Figure A-9.8.4.-B.
1) Except as permitted by Sentence (2) the top of the nosings of stair treads shall have a rounded
   or bevelled edge extending not less than 6 mm (1/4") and not more than 14 mm (9/16")
   measured horizontally from the front of the nosing.

2) If resilient material is used to cover the nosing of a stair tread, the minimum extension of the
   rounded or bevelled edge required by Sentence (1) is permitted to be reduced to 3 mm (1/8").

9.8.7. Handrails

Article 9.8.7.1. Required Handrails
1) Except as provided in Sentences (2) to (4), handrails shall be installed on stairs and ramps in
accordance with Table 9.8.7.1.

Table 9.8.7.1. Number of Sides of Stair or Ramp Required to Have a Handrail
Forming Part of Sentence 9.8.7.1.(1)

<table>
<thead>
<tr>
<th>Location of Stair or Ramp</th>
<th>Handrails Serving Stairs</th>
<th>Handrails Serving Ramps</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Stairs &lt; 1 100 mm Wide</td>
<td>Stairs ≥ 1 100 mm Wide</td>
</tr>
<tr>
<td></td>
<td>Straight</td>
<td>Curved</td>
</tr>
<tr>
<td></td>
<td>Number of Sides Required to Have a Handrail</td>
<td></td>
</tr>
<tr>
<td>Within a dwelling unit or a house with a secondary suite</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>All other locations(*)</td>
<td>1</td>
<td>2</td>
</tr>
</tbody>
</table>

Notes to Table 9.8.7.1.: (1) See Sentences 9.8.7.1.(2), (3), and (4) for exceptions.
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3) Handrails are not required for stairs and ramps serving a single dwelling unit, where
   a) interior stairs have not more than 2 risers,
   b) exterior stairs have not more than 3 risers, or
   c) ramps rise not more than 400 mm (16”).

4) Only one handrail is required on exterior stairs having more than 3 risers provided such stairs serve not more than one dwelling unit or a house with a secondary suite.

5) Except for stairs with winders, where a flight of stairs within a dwelling unit consists of tapered treads, or a mix of tapered treads and rectangular treads, one handrail shall be installed along the narrow end of the treads.

Article 9.8.7.2. Continuity of Handrails
(See Note A-9.8.7.2.)

(a) Stair serving other than a single dwelling unit: inside handrails continuous through length of stair

(b) Stair serving a single dwelling unit: handrails continuous through length of flight

- minimum extent of handrail where handrail is required
- newel post

Figure A-9.8.7.2.
Continuity of handrails at the top and bottom of stairs and flights

Note to Figure A-9.8.7.2.: (1) See Article 9.8.7.1. to determine the number of handrails required. Some stairs will require only one, while some will require two or more.
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A-9.8.7.2. Continuity of Handrails. The guidance and support provided by handrails is particularly important at the beginning and end of ramps and flights of stairs and at changes in direction such as at landings and winders.

The intent of the requirement in Sentence (2) for handrails to be continuous throughout the length of the stair is that the handrail be continuous from the bottom riser to the top riser of the stair. (See Figure A-9.8.7.2.)

For stairs or ramps serving a single dwelling unit, the intent of the requirement for handrails to be continuous throughout the length of the flight is that the handrail be continuous from the bottom riser to the top riser of the flight. The required handrail may start back from the bottom riser only if it is supported by a newel post or volute installed on the bottom tread. (See Figure A-9.8.7.2.) With regard to stairs serving a single dwelling unit, the handrail may terminate at landings.

In the case of stairs within dwelling units that incorporate winders, the handrail should be configured so that it will in fact provide guidance and support to the stair user throughout the turn through the winder.

1) Except as provided in Sentence (3), required handrails shall be continuously graspable throughout the length of:
   a) ramps.
   b) flights of stairs, from the bottom riser to the top riser.

2) Except for stairs or ramps serving a single dwelling unit or a house with a secondary suite including their common spaces, at least one required handrail shall be continuous throughout the length of the stair or ramp, including at the landing except where interrupted by doorways. (See Note A-3.4.6.5.(10).)

3) For stairs or ramps serving a single dwelling unit or a house with a secondary suite including their common spaces, a handrail is permitted to start from a newel post or volute installed on the bottom tread.

Article 9.8.7.3. Termination of Handrails

1) Handrails shall be terminated in a manner that will not obstruct pedestrian travel or create a hazard. (See Note A-9.8.7.3.(1).)

2) Except for stairs and ramps serving only one dwelling unit or a house with a secondary suite including their common spaces, at least one handrail at the sides of a stair or ramp shall extend horizontally not less than 300 mm (12”) beyond the top and bottom of each flight or ramp. (See Note A-9.8.7.3.(2).)

A-9.8.7.3.(1) Termination of Handrails. Handrails are required to be installed so as not to obstruct pedestrian travel. To achieve this end, the rail should not extend so far into a hallway as to reduce the clear width of the hallway to less than the required width. Where the stair terminates in a room or other space, likely paths of travel through that room or space should be assessed to ensure that any projection of the handrail beyond the end of the stair will not interfere with pedestrian travel. As extensions of handrails beyond the first and last riser are not required in dwelling units (see Sentence 9.8.7.3.(2)) and as occupants of dwellings are generally familiar with their surroundings, the design of dwellings would not generally be affected by this requirement.
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Handrails are also required to terminate in a manner that will not create a safety hazard to blind or visually impaired persons, children whose heads may be at the same height as the end of the rail, or persons wearing loose clothing or carrying items that might catch on the end of the rail. One approach to reducing potential hazards is returning the handrail to a wall, floor or post. Again, within dwelling units, where occupants are generally familiar with their surroundings, returning the handrail to a wall, floor or post may not be necessary. For example, where the handrail is fastened to a wall and does not project past the wall into a hallway or other space, a reasonable degree of safety is assumed to be provided; other alternatives may provide an equivalent level of protection.

A-9.8.7.3.(2) Handrail Extensions. As noted in Note A-9.8.7.2., the guidance and support provided by handrails is particularly important at the beginning and end of ramps and flights of stairs and at changes in direction. The extended handrail provides guidance and allows users to steady themselves upon entering or leaving a ramp or flight of stairs. Such extensions are particularly useful to visually-impaired persons, and persons with physical disabilities or who are encumbered in their use of the stairs or ramp.

Article 9.8.7.4. Height of Handrails
(See Note A-9.8.7.4.)

A-9.8.7.4. Height of Handrails. Figure A-9.8.7.4. illustrates how to measure handrail height.

![Diagram of handrail height measurement](image-url)
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1) The height of handrails on stairs and ramps shall be measured vertically from the top of the handrail to:
   a) a straight line drawn tangent to the tread nosings of the stair served by the handrail, or
   b) the surface of the ramp, floor or landing served by the handrail.

2) Except as provided in Sentence (3) and Clause 3.8.3.5.(1)(e), required handrails shall be 865 mm (34") to 1 070 mm (42") high.

3) Handrails installed in addition to required handrails need not comply with Sentence (2).

Article 9.8.7.5. Ergonomic Design

1) The clearance between a handrail and the surface behind it shall be not less than:
   a) 50 mm (2"), or
   b) where said surface is rough or abrasive, 60 mm (2 3/8"").

2) All handrails shall be constructed so as to be continually grasppable along their entire length with no obstruction on or above them to break a handhold. (See Note A-9.8.7.5.(2.).)

A-9.8.7.5.(2) Handrail Sections. Handrails are intended to provide guidance and support to stair users. To fulfill this intent, handrails must be "grasppable."

The grasppable portion of a handrail should allow a person to comfortably and firmly grab hold by allowing their fingers and thumb to curl under part or all of the handrail. Where the configuration or dimensions of the handrail do not allow a person's fingers and thumb to reach the bottom of it, recesses that are sufficiently wide and deep to accommodate a person's fingers and thumb must be provided on both sides of the handrail, at the bottom of the grasppable portion, which must not have any sharp edges.

Article 9.8.7.6. Projections into Stairs and Ramps

1) Handrails and constructions below handrails, including handrail supports and stair stringers, shall not project more than 100 mm (3 7/8") into the required width of a stair or ramp. (See also Articles 9.8.2.1. and 9.8.5.2.)

Article 9.8.7.7. Design and Attachment of Handrails

(See Note A-9.8.7.7.)

A-9.8.7.7. Attachment of Handrails. Handrails are intended to provide guidance and support to the stair user and to arrest falls. The loads on handrails may therefore be considerable. The attachment of handrails serving a single dwelling unit may be accepted on the basis of experience or structural design.

1) Handrails and their supports shall be designed and constructed to withstand the following loads, which need not be considered to act simultaneously:
   a) a concentrated load of not less than 0.9 kN applied at any point and in any direction for all handrails, and
   b) for handrails other than those serving a single dwelling unit, a uniform load of not less than 0.7 kN/m.
2) Where exterior or interior handrails serving a single dwelling unit or a house with a secondary suite including their common spaces are attached to wood studs or blocking, the attachment shall be deemed to comply with Sentence (1), where:
   a) the attachment points are spaced not more than 1.2 m apart measured on the horizontal plane,
   b) the first attachment point at either end is located no more than 300 mm (12") from the end of the handrail, and
   c) the fasteners consist of not less than 2 No. 8 wood screws at each point, penetrating not less than 32 mm (1 1/4") into solid wood.

9.8.8. Guards

Article 9.8.8.1. Required Guards
(See Note A-9.8.8.1.)
A-9.8.8.1. Required Guards. The requirements relating to guards stated in Part 9 are based on the premise that, wherever there is a difference in elevation of 600 mm (24") or more between two floors, or between a floor or other surface to which access is provided for other than maintenance purposes and the next lower surface, the risk of injury in a fall from the higher surface is sufficient to warrant the installation of some kind of barrier to reduce the chances of such a fall. A wall along the edge of the higher surface will obviously prevent such a fall, provided the wall is sufficiently strong that a person cannot fall through it. Where there is no wall, a guard must be installed. Because guards clearly provide less protection than walls, additional requirements apply to guards to ensure that a minimum level of protection is provided. These relate to the characteristics described in Notes A-9.8.8.3., A-9.8.8.5.(1) and (2), A-9.8.8.5.(3) and A-9.8.8.6.(1).

Examples of such surfaces where the difference in elevation could exceed 600 mm (24") and consequently where guards would be required include, but are not limited to, landings, porches, balconies, mezzanines, galleries, and raised walkways. Especially in exterior settings, surfaces adjacent to walking surfaces, stairs or ramps are not parallel to the walking surface or the surface of the treads or ramps. Consequently, the walking surface, stair or ramp may need protection in some locations but not in others. (See Figure A-9.8.8.1.) In some instances, grades are artificially raised close to walking surfaces, stairs or ramps to avoid installing guards. This provides little or no protection for the users. That is why the requirements specify differences in elevation not only immediately adjacent to the construction but also for a distance of 1 200 mm (48") from it by requiring that the slope of the ground be within certain limits. (See Figure A-9.8.8.1.)

Figure A-9.8.8.1.
Required locations of guards
1) Except as provided in Sentence (2), every surface to which access is provided, including but not limited to flights of steps and ramps, exterior landings, porches, balconies, mezzanines, galleries and raised walkways, shall be protected by a guard on each side that is not protected by a wall for the length where
a) there is a difference in elevation of more than 600 mm (24") between the walking surface and the adjacent surface, or
b) the adjacent surface within 1.2 m (4') of the walking surface has a slope of more than 1 in 2.

2) Guards are not required
a) at loading docks,
b) at floor pits in repair garages, or
c) where access is provided for maintenance purposes only.

3) Doors in buildings of residential occupancy, where the finished floor on one side of the door is more than 600 mm (24") above the floor or other constructed surface or ground level on the other side of the door, shall be protected by
a) a guard, or
b) a mechanism capable of controlling the free swinging or sliding of the door so as to limit any clear unobstructed opening to not more than 100 mm (3 7/8").

4) Except as provided in Sentence (5), openable windows in buildings of residential occupancy shall be protected by
a) a guard, or
b) a mechanism capable of controlling the free swinging or sliding of the openable part of the window so as to limit any clear unobstructed opening to not more than 100 mm (3 7/8") measured either vertically or horizontally where the other dimension is greater than 380 mm (15").
(See Note A-9.8.8.1.(4))

A-9.8.8.1.(4) Height of Window Sills above Floors or Ground. The primary intent of the requirement is to minimize the likelihood of small children falling significant heights from open windows. Reflecting reported cases, the requirement applies only to dwelling units and generally those located on the second floor or higher of residential or mixed use buildings where the windows are essentially free-swinging or free-sliding.

Free-swinging or free-sliding means that a window that has been cracked open can be opened further by simply pushing on the openable part of the window. Care must be taken in selecting windows, as some with special operating hardware can still be opened further by simply pushing on the window.

Casement windows with crank operators would be considered to conform to Clause (4)(b). To provide additional safety, where slightly older children are involved, occupants can easily remove the crank handles from these windows. Awning windows with scissor hardware, however, may not keep the window from swinging open once it is unlatched. Hopper windows would be affected only if an opening is created at the bottom as well as at the top of the window. The requirement will impact primarily on the use of sliding windows which do not incorporate devices in their construction that can be used to limit the openable area of the window.
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The 100 mm (3 7/8") opening limit is consistent with widths of openings that small children can fall through. It is only invoked, however, where the other dimension of the opening is more than 380 mm (15"). Again, care must be taken in selecting a window. At some position, scissor hardware on an awning window may break up the open area such that there is no unobstructed opening with dimensions greater than 380 mm (15") and 100 mm (3 7/8"). At another position, however, though the window is not open much more, the hardware may not adequately break up the opening. The 450 mm (18") height off the floor recognizes that furniture is often placed under windows and small children are often good climbers.

5) Windows need not be protected in accordance with Sentence (4), where
a) the window serves a dwelling unit that is not located above another suite,
b) the window serves a house with a secondary suite,
c) the only opening greater than 100 mm (3 7/8") by 380 mm (15") is a horizontal opening at the top of the window,
d) the window sill is located more than 450 mm (18") above the finished floor on one side of the window,
e) the window is located in a room or space with the finished floor described in Clause (d) located less than 1 800 mm (6') above the floor or ground on the other side of the window.
(See Note A-9.8.8.1.(4).)

7) In dwelling units, glazing installed over stairs, ramps and landings that extends to less than 900 mm (36") above the surface of the treads, ramp or landing shall be
a) protected by guards, in accordance with this Subsection, or
b) non-openable and designed to withstand the specified lateral loads for balcony guards as provided in Article 4.1.5.14.

9.8.8.2. Loads on Guards
(See Note A-9.6.8.2)

A-9.8.8.2. Loads on Guards. Guards must be constructed so as to be strong enough to protect persons from falling under normal use. Many guards installed in dwelling units or on exterior stairs serving one or two dwelling units have demonstrated acceptable performance over time. The loading described in the first row of Table 9.8.8.2. is intended to be consistent with the performance provided by these guards. Examples of guard construction presented in the “2012 Building Code Compendium, Volume 2, Supplementary Standard SB-7, Guards for Housing and Small Buildings” meet the criteria set in the National Building Code for loads on guards, including the more stringent requirements of Sentences 9.8.8.2 (1) and (2).

The load on guards within dwelling units, or on exterior guards serving not more than two dwelling units, is to be imposed over an area of the guard such that, where standard balusters are used and installed at the maximum 100 mm (3 7/8") spacing permitted for required guards, 3 balusters will be engaged. Where the balusters are wider, only two may be engaged unless they are spaced closer together. Where the guard is not required, and balusters are installed more than 100 mm (3 7/8") apart, fewer balusters may be required to carry the imposed load.

1) Except as provided in Sentences (2) and (4), guards shall be designed to resist the specified loads prescribed in Table 9.8.8.2.
### Table 9.8.8.2.  
**Specified Loads for Guards**  
Forming Part of Sentence 9.8.8.2.(1)

<table>
<thead>
<tr>
<th>Location of Guard</th>
<th>Horizontal Load Applied Inward or Outward at any Point at the Minimum Required Height of the Guard</th>
<th>Horizontal Load Applied Outward on Elements Within the Guard, Including Solid Panels and Balusters</th>
<th>Evenly Distributed Vertical Load Applied at the Top of the Guard</th>
</tr>
</thead>
<tbody>
<tr>
<td>Guards within dwelling units and exterior guards serving not more than 2 dwelling units</td>
<td>0.5 kN/m OR concentrated load of 1.0 kN applied at any point^[1]</td>
<td>0.5 kN applied over a maximum width of 300 mm and a height of 300 mm^[2]</td>
<td>1.5 kN/m</td>
</tr>
<tr>
<td>Guards serving access ways to equipment platforms and similar areas where the gathering of many people is improbable</td>
<td>Concentrated load of 1.0 kN applied at any point^[1]</td>
<td>Concentrated load of 0.5 kN applied over an area of 100 mm by 100 mm located at any point on the element or elements so as to produce the most critical effect</td>
<td>1.5 kN/m</td>
</tr>
<tr>
<td>All other guards</td>
<td>0.75 kN/m OR concentrated load of 1.0 kN applied at any point^[1]</td>
<td>Concentrated load of 0.5 kN applied over an area of 100 mm by 100 mm located at any point on the element or elements so as to produce the most critical effect</td>
<td>1.5 kN/m</td>
</tr>
</tbody>
</table>

### Notes to Table 9.8.8.2.:  
(1) The load that creates the most critical condition shall apply.  
(2) See Sentence (2).

2) For guards within dwelling units and within houses with a secondary suite including their common spaces and for exterior guards serving not more than 2 dwelling units, where the width and spacing of balusters are such that 3 balusters can be engaged by a load imposed over a 300 mm (12") width, the load shall be imposed so as to engage 3 balusters.

3) None of the loads specified in Table 9.8.8.2. need be considered to act simultaneously.

4) For guards within dwelling units and within houses with a secondary suite including their common spaces and for exterior guards serving not more than 2 dwelling units, Table 9.8.8.2. need not apply where the guard construction used has been demonstrated to provide effective performance.

### Article 9.8.8.3. Height of Guards  
(See Note A-9.8.8.3.)

A-9.8.8.3. Minimum Heights. Guard heights are generally based on the waist heights of average persons. Generally, lower heights are permitted in dwelling units because the occupants become familiar with the potential hazards, and situations which lead to pushing and jostling under crowded conditions are less likely to arise.
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1) Except as provided in Sentences (2) to (4), all guards shall be not less than 1070 mm (42") high.

2) All guards within dwelling units or within houses with a secondary suite including their common spaces shall be not less than 900 mm (36") high.

3) Exterior guards serving not more than one dwelling unit or a house with a secondary suite including their common spaces shall be not less than 900 mm (36") high where the walking surface served by the guard is not more than 1800 mm (6') above the finished ground level.

4) Guards for flights of steps, except in required exit stairs, shall be not less than 900 mm (36") high.

5) The height of guards for flights of steps shall be measured vertically from the top of the guard to a line drawn through the tread nosing served by the guard.

Article 9.8.8.4. Guards for Floors and Ramps in Garages

1) Except for floors of garages referred to in Section 9.35., where garage floors or ramps are 600 mm (24") or more above the adjacent ground or floor level, every opening through a garage floor and the perimeter of floors and ramps that have no exterior walls shall be provided with:
   a) a continuous curb not less than 140 mm (5.5") in height, and
   b) a guard not less than 1070 mm (42") above the floor level.

2) Vehicle guardrails shall be designed and constructed to withstand the loading values stipulated in Sentence 4.1.5.15.(1). (See Note A-4.1.5.14. and 4.1.5.15.(1.).)

Article 9.8.8.5. Openings in Guards

1) Except as permitted in Sentences (2) and (3), openings through guards shall be of a size that prevents the passage of a spherical object having a diameter of 100 mm (3 7/8"). (See Note A-9.8.8.5.(1) and (2).)

A-9.8.8.5.(1) and (2) Risk of Falling through Guards. The risk of falling through a guard is especially prevalent for children. Therefore the requirements are stringent for guards in all buildings except industrial buildings, where children are unlikely to be present except under strict supervision.

3) Openings through any guard that is not required by Article 9.8.8.1. and that serves an occupancy other than an industrial occupancy shall be of a size that:
   a) prevents the passage of a spherical object having a diameter of 100 mm (3 7/8"), or
   b) permits the passage of a spherical object having a diameter of 200 mm (8"). (See Note A-9.8.8.5.(3).)
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A-9.8.8.5.(3) Risk of Children Getting Their Head Stuck between Balusters. The requirements to prevent children falling through guards also serve to provide adequate protection against this problem. However, guards are often installed where they are not required by the Code; i.e., in places where the difference in elevation is less than 600 mm (24”). In these cases, there is no need to require the openings between balusters to be less than 100 mm (3 7/8”). However, there is a range of openings between 100 mm (3 7/8”) and 200 mm (8”) in which children can get their head stuck. Therefore, openings in this range are not permitted except in buildings of industrial occupancy, where children are unlikely to be present except under strict supervision.

Article 9.8.8.6. Design of Guards to Not Facilitate Climbing
1) Except for guards in industrial occupancies, guards required by Article 9.8.8.1. that protect a level located more than 4.2 m (13'-9") above the adjacent level shall be designed so that no member, attachment or opening located between 140 mm (5.5") and 900 mm (36") above the level protected by the guard facilitates climbing. (See Note A-9.8.8.6.(1))

A-9.8.8.6.(1) Configuration of Members, Attachments or Openings in Guards so as to not Facilitate Climbing. Some configurations of members, attachments or openings may be part of a guard design and still comply with Sentence 9.8.8.6.(1). Figures A-9.8.8.6.(1)-A to A-9.8.8.6.(1)-D present a few examples of designs that are considered to not facilitate climbing.

Protrusions that are greater than 450 mm (18") apart horizontally and vertically are considered sufficiently far apart to reduce the likelihood that young children will be able to get a handhold or toehold on the protrusions and climb the guard.

Figure A-9.8.8.6.(1)-A
Example of minimum horizontal and vertical clearances between protrusions in guards
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Protrusions that present a horizontal offset of 15 mm (1/2") or less are considered to not provide a sufficient foot purchase to facilitate climbing.

Figure A-9.8.8.5.(1)-B
Examples of maximum horizontal offset of protrusions in guards

A guard incorporating spaces that are not more than 45 mm wide by 20 mm high is considered to not facilitate climbing because the spaces are too small to provide a toehold.
Figure A-9.8.8.6.(1)-C
Example of a guard with spaces created by the protruding elements that are not more than 45 mm (1 3/4”) wide and 20 mm (3/4”) high

Protrusions that present more than a 2-in-1 slope on the offset are considered to not facilitate climbing because such a slope is considered too steep to provide adequate footing.

Figure A-9.8.8.6.(1)-D
Example of guard protrusions with a slope greater than 2 in 1
Article 9.8.8.7. Glass in Guards
1) Glass in guards shall be
   a) safety glass of the laminated or tempered type conforming to CAN/CGSB-12.1-M, "Tempered or Laminated Safety Glass," or
   b) wired glass conforming to CAN/CGSB-12.11-M, "Wired Safety Glass."

In Summary

This Advisory #1 includes a summary of the applicable Part 9, 2015 NBC provisions for the construction of stairs, handrails and guards for single family dwellings or a house with a secondary suite only.

For the construction of Stairs, Barrier-Free Ramps, Handrails, and Guards for all other Part 9 buildings, please consult Advisory #2 as it deals with the construction of Stairs, Barrier-Free Ramps, Handrails, and Guards for all other Part 9 buildings.

For the construction of Stairs, Barrier-Free Ramps, Handrails and Guards in all buildings that are governed under Part 3 of the 2015 NBC, please see Advisory #3 as it deals with the construction of Stairs, Barrier-Free Ramps, Handrails and Guards in all buildings that are governed under Part 3 of the 2015 NBC.